



Position: Compliance Manager III - Job Number # 1000841

Responsibilities:

- Manages compliance efforts for the Company as they relate to complex regulatory and policy requirements, program and project development.
- Analyzes existing and proposed legislation, regulatory announcements, and industry practices, and helps businesses develop and implement procedures to meet these requirements.
- Manages control process validation to ensure compliance with laws, rules and regulations.
- Partners with business unit managers to respond to reports issued by regulatory agencies or audit.
- May manage small team of Compliance Analysts.
- Will maintain contact with regulators, government officials, and senior Business Unit managers.

Requirements:

- MBA, JD degree or professional certification preferred.
- 15-17+ years relevant product/financial services and/or auditing or compliance experience.
- Thorough knowledge of Financial Services rules and regulations.
- Solid understanding of Enterprise Compliance Risk Management and best practices.
- Excellent problem solving, analytical ability, oral and written communication skills.
- The ability to manage stressful situations with internal clients and regulators, while maintaining a professional approach to problem solving.
- Ability to effectively communicate with senior management and Regulators.
- Strong interpersonal skills to influence and impact business decisions over which the incumbent has no direct authority.

As one of the world's leading financial services organizations, BNY Mellon recognizes the importance of a competitive benefits plan as part of your total compensation. That's why we offer a comprehensive, customizable benefits program to meet the needs of our diverse work force. Benefits include high quality medical and dental plans including orthodontic coverage, vision, life and disability insurance, flexible spending accounts, retirement plans that include an attractive 401k match and a company funded pension plan, generous tuition assistance and other work/life programs.

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PLEASE CONTACT AICH/WIA PROGRAM (212-598-0100, ext 221) FOR JOB-SEARCH ASSISTANCE!

(Customers must be Native American and meet WIA program eligibility requirements in order to receive transportation assistance, clothing allowance, cash incentives once employed, child care assistance, etc., etc..)

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